

Westpac Active Series Deceased Estate Withdrawal Form



To the Manager

This form must be completed by the persons who have been granted:

- Probate, where the deceased left a Will (executor); or
- Letters of Administration, where the deceased did not leave a Will (administrator).

Please note where Probate/Letters of Administration are not required to be applied for and the value of the amount available for withdrawal is \$15,000 or less, any of the persons set out in the box at the end of the form may be entitled to complete this form.

A. Deceased details

Mr Mrs Miss Ms Other (please specify) _____ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Unitholder number IRD number (if available)

Postal address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

B. Claimant(s) details

1. Mr Mrs Miss Ms Other (please specify) _____ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different) NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Occupation Phone

Relationship to deceased (state if executor or administrator)

2. Mr Mrs Miss Ms Other (please specify) _____ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different) NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Occupation Phone

Relationship to deceased (state if executor or administrator)

3. Mr Mrs Miss Ms Other (please specify) _____ Date of birth DD / MM / YYYY

Name FIRST MIDDLE LAST

Home address NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Postal address (if different) NUMBER & STREET SUBURB

TOWN/CITY POSTCODE

Occupation Phone

Relationship to deceased (state if executor or administrator)

C. Statutory declaration

I, NAME of Address Date DD / MM / YYYY

I, NAME of Address Date DD / MM / YYYY

I, NAME of Address Date DD / MM / YYYY

Solemnly and sincerely declare that:

I am/We are entitled to make this claim and that all the information which is provided in this form and in all supporting documents is true and correct.

I/We understand that:

- BT Funds Management (NZ) Limited (as manager of the Westpac Active Series) (“Manager”) will not process this withdrawal request unless it receives a fully completed withdrawal form and all supporting documents and may ask for further information from me/us in relation my/our withdrawal request which will delay the processing of this request.
- The withdrawal value will normally be based on the unit price applying on the business day the withdrawal request is accepted, so may be subject to change, and can be affected by market volatility, PIE tax rebates or deductions, or other pending transactions.
- On withdrawal, the Manager will close the investment account of the deceased.
- This instruction is irrevocable, once submitted, unless the Manager and supervisor of the Westpac Active Series agree otherwise.
- Withdrawal payments will generally be paid to the nominated bank account within 10 business days of acceptance of the withdrawal request, but the Manager may from time to time (in accordance with its rights under the Trust Deed) suspend withdrawals or delay payments, which may impact processing timeframes and the amount received on withdrawal.
- I/We will apply the proceeds from the withdrawal in due course of administration of the deceased’s estate as the law requires.

Balances \$15,000 or less (where applicable)

I/We declare that the deceased (select one):

Left a Will, and Probate has not and will not be applied for; or

Did not leave a Will, and Letters of Administration have not and will not be applied for.

- I/We hereby agree to indemnify the Manager against any claims, losses, damages, costs and/or expenses whatsoever (including its own legal costs on a solicitor/client basis), which may arise in connection with the said investment or the payment of the balance therefore and also against all amounts the Manager may be called upon to pay and all costs (including its own legal costs on a solicitor/client basis), charges and expenses incurred in connection therewith.
- I/We understand that by completing this form, I/we will be providing personal information which will be held securely by the Manager and in accordance with Westpac’s Privacy Policy (available on the Westpac website at westpac.co.nz/privacy). I/We have the right to access and correct this information subject to the provisions of the Privacy Act 2020 (as amended or replaced from time to time).

And I/we make this solemn declaration conscientiously believing the same to be true and by virtue of the Oaths and Declarations Act 1957.

1. Name FIRST MIDDLE LAST

Signature _____ Date DD / MM / YYYY

Declared at, THIS _____ Y

Before me (JP, solicitor, notary public, a Registrar or Deputy Registrar of the District Court or the High Court, or other person authorised to take a Statutory Declaration in accordance with the Oaths and Declarations Act 1957):

Signature _____ Date DD / MM / YYYY

2. Name FIRST MIDDLE LAST

Signature _____ Date DD / MM / YYYY

Declared at, THIS _____ Y

Before me (JP, solicitor, notary public, a Registrar or Deputy Registrar of the District Court or the High Court, or other person authorised to take a Statutory Declaration in accordance with the Oaths and Declarations Act 1957):

Signature _____ Date DD / MM / YYYY

3. Name FIRST

MIDDLE

LAST

Signature

Date DD / MM / YYYY

Declared at, THIS

Before me (JP, solicitor, notary public, a Registrar or Deputy Registrar of the District Court or the High Court, or other person authorised to take a Statutory Declaration in accordance with the Oaths and Declarations Act 1957):

Signature

Date DD / MM / YYYY

D. Payment details

Account Name

(Please attach deposit slip)

Account Number

Bank

Branch

Account Number

Suffix

Balances \$15,000 or less (where applicable)

Persons entitled to make a claim under section 65 (2) of the Administration Act 1969 include:

- Widow or widower.
• Surviving civil union partner.
• Children of the deceased.
• Surviving de facto partner.
• The persons beneficially entitled to the estate of the deceased under the Will or on intestacy.
• Any person appearing to be entitled to obtain administration of the estate in New Zealand.
• Any person related by blood, marriage or civil union to the deceased who undertakes to maintain the children of that person who are minors or any of them.
• Any person who has and is exercising the role of providing day-to-day care of the children of the deceased who are minors.

Please return the completed form and the following documents (as applicable):

- A certified copy of the Death Certificate or Medical Certificate of Cause of Death.
• A certified copy of the Will.
• A certified copy of Probate or Letters of Administration.
• In the case of a person claiming a balance \$15,000 or less, evidence of the relationship to the deceased e.g. Marriage/Birth Certificate.
• An original certified copy or original bank statement/deposit slip, if the bank account you've provided is not a Westpac New Zealand bank account.
• A certified copy of acceptable identity document for all claimants in Section B. This is usually by way of either a certified copy of a New Zealand passport or a New Zealand photo driver licence.
• A certified copy of proof of address documents for all claimants in Section B. The document must be dated within the last 12 months and show the claimant's name and current residential address.

For a full list of acceptable identity and proof of address documents, please go to westpac.co.nz/aml

By mail to the Manager at: Westpac Active Series, PO Box 695, Wellington 6140.

Please call us on 0800 808 012 between 8am and 5pm if you need any help.

What's a certified copy?

A certified copy is a copy of an original document on which an authorised person (such as a Justice of the Peace, solicitor or other legally authorised person) has confirmed it is a true copy of the original. All certified copies must include:

- The certifier's name, occupation, signature and date
• The following or equivalent wording: "I certify this to be a true copy of the original document as sighted by me on [date]"
• For identity documents only, add: "and it represents the true likeness and identity of the individual"

The certifier can't be: someone you're related to, your spouse/partner, someone who lives at the same address, or involved in the transaction or business requiring the certified document.

Alternatively, you can go into a Westpac branch and have these original documents verified by branch staff.

Investments made to the Westpac Active Series do not represent bank deposits or other liabilities of Westpac Banking Corporation ABN 33 007 457 141 (Westpac), Westpac New Zealand Limited (Westpac NZ) or other members of the Westpac group. They are subject to investment and other risks, including possible delays in payment of withdrawal amounts in some circumstances, and loss of investment value, including principal invested. BT Funds Management (NZ) Limited is the manager of the Westpac Active Series (Scheme). None of BT Funds Management (NZ) Limited, as manager, Westpac NZ, Westpac, any member of the Westpac group (or any of their nominees), or any director of any of those entities, or any other person guarantees the Scheme's performance, returns or repayment of capital.

Westpac branch use - branch checklist

Branch name

Staff name

- Form is complete
Customer is AML compliant, current ID and proof of address has been verified/certified and loaded into Sales Customer (Assist)